

26480.P01

Form PTO-1390		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER P26480
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>10/518388</b>	
INTERNATIONAL APPLICATION NO. PCT/JP2003/008450	INTERNATIONAL FILING DATE 02 July 2003	PRIORITY DATE CLAIMED <b>10/518388</b> 05 July 2002	
TITLE OF INVENTION INTERMEDIATE FOR OPTICAL RECORDING MEDIUM AND METHOD OF MANUFACTURING THE OPTICAL RECORDING MEDIUM			
APPLICANT(S) FOR DO/EO/US Junichi IDE, Mamoru USAMI, Tomoki USHIDA, Kenji YAMAGA, and Atsushi KOYAMA			

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information.

- ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- ☒ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (23) indicated below.
- ☐ The US has been elected (PCT Article 31).
- ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - ☒ is attached hereto (required only if not communicated by the International Bureau).
  - ☒ has been communicated by the International Bureau.
  - ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☒ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
  - ☒ is attached hereto.
  - ☐ has been previously submitted under 35 U.S.C. 154 (d) (4).
- ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - ☐ are attached hereto (required only if not communicated by the International Bureau).
  - ☐ have been communicated by the International Bureau.
  - ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - ☐ have not been made and will not be made.
- ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). "Executed"
- ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 16 below concern other document(s) or information included:

- Assignee: TDK Corporation, of Tokyo, Japan
- ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- ☒ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
- ☐ An Application Data Sheet under 37 C.F.R. 1.76.
- ☐ A substitute specification.
- ☐ A power of attorney and/or change of address letter.
- ☒ Figure of Drawing to be published: 4
- ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.
- ☐ A second copy of the published international application under 35 U.S.C. 154 (d) (4).
- ☐ A second copy of the English language translation of the international of the international application under 35 U.S.C. 154 (d) (4).
- ☒ Other items or information:  
International Application as published in Japanese.  
PCT/ISA/210 International Search Report (in English and Japanese).  
PCT/RO/101 PCT Request (in Japanese).  
PCT/IB/301.  
PCT/IB/304.  
PCT/IB/308.  
Claim of Priority.

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/518388</b>		INTERNATIONAL APPLICATION NO. PCT/JP2003/008450	ATTORNEY'S DOCKET NUMBER P26480	
23 <input checked="" type="checkbox"/> The following fees are submitted:			CALCULATIONS	PTO USE ONLY
ENTER APPROPRIATE BASIC FEE AMOUNT =				
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).			\$ 0.00	
Basic National Stage Fee			\$ 300.00	
National Stage Application Size Fee – for each additional 50 sheets that exceeds 100 sheets			\$ 0.00	
National Stage Search Fee			\$ 500.00	
National Stage Examination Fee			\$ 200.00	
Claims	Number Filed	Number Extra	Rate	
Total Claims	7 - 20 =	0	x \$50.00	\$ 0.00
Independent Claims	1 - 3 =	0	x \$200.00	\$ 0.00
Multiple Dependent Claim(s) (if applicable)			+ \$360.00	\$ 0.00
TOTAL OF ABOVE CALCULATIONS =			\$ 1,000.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.			\$ 0.00	
SUBTOTAL =			\$ 1,000.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).			\$ 0.00	
Extension of Time fee in the amount of \$			\$ 0.00	
TOTAL NATIONAL FEE =			\$ 1,000.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property			\$ 40.00	
TOTAL FEES ENCLOSED =			\$ 1,040.00	
			Amount to be refunded	\$
			Charged	\$
<p>a. <input checked="" type="checkbox"/> A check in the amount of <u>\$1,040.00</u> to cover the above fees is included.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.</p> <p>c. <input checked="" type="checkbox"/> The U.S. Patent and Trademark Office is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-0089</u>.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> <p>e. <input checked="" type="checkbox"/> Charge any additional fee required under 37 C.F.R. 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3)).</p>				
<p><b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p>				
<p>SEND ALL CORRESPONDENCE TO CUSTOMER NO. 7055 AT THE PRESENT ADDRESS OF:</p>				
<p>Bruce H. Bernstein GREENBLUM &amp; BERNSTEIN, P.L.C. 1950 Roland Clark Place Reston, VA 20191 (703) 716-1191</p>			<p><i>Leslie J. Paperner</i> <b>Leslie J. Paperner</b> Reg. No. 33,329</p>	
			<p>Bruce H. Bernstein NAME</p>	
			<p>29,027 REGISTRATION NUMBER</p>	

Leslie J. Paperner  
Reg. No. 33,329